

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**or Fax (703) 746-4000**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26712

7590

09/03/2004

**HODGSON RUSS LLP**  
**ONE M & T PLAZA**  
**SUITE 2000**  
**BUFFALO, NY 14203-2391**

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Susan L. Eick (Depositor's name)  
Susan L. Eick (Signature)  
November 1, 2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/627,885	07/25/2003	Jun Zhang	11474.0295	3810

TITLE OF INVENTION: POLYMERIC WOOD PRESERVATIVE COMPOSITIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	12/03/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
GREEN, ANTHONY J	1755	106-018320

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Hodgson Russ LLP

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Osmose, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Buffalo, New York

11/05/2004 MBEYENE2 00000084 10627885

01 FC:1501

1370.00 OP

02 FC:1504

300.00 OP

03 FC:8001

30.00 OP

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 08-2442 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Ranjana Kadle

Date November 1, 2004

Typed or printed name Ranjana Kadle

Registration No. 40,041

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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# **FEE TRANSMITTAL** **for FY 2004**

Effective 10/01/2003. Patent Fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 1700

Application Number	10/627,885
Filing Date	July 25, 2003
First Named Inventor	Zhang et al.
Examiner Name	Anthony J. Green
Group/Art Unit	1755
Attorney Docket Number	11474.0295

## **METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account: Deposit Account Number: 08-2442

Deposit Account Name: Hodgeson Russ LLP

The Director is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below

☒ Charge any fee deficiencies or credit any overpayments

☐ Charge any additional fees during pendency of this application.

☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account

## **FEE CALCULATION**

### **1. BASIC FILING FEE** Large Entity Small Entity

Fee Code	Fee (\$)	Fee Description	Fee Paid
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1001	790	2001 395 Utility filing fee	\$
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1002	350	2002 175 Design filing fee	\$
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1003	550	2003 275 Plant filing fee	\$
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1004	790	2004 395 Reissue filing fee	\$
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1005	160	2005 80 Provisional filing fee	\$
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<b>SUBTOTAL (1)</b>			\$ 0
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### **2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE**

Fee Code	Fee (\$)	Fee Description	Fee Paid
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Total Claims	// -20** = //	x // =	\$
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Independent Claims	// - 3** = //	x // =	\$
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Multiple dependent	// x // =	\$
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### Large Entity Small Entity

Fee Code	Fee (\$)	Fee Description	Fee Paid
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1202	18	2202 9 Claims in excess of 20	\$
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1201	88	2201 44 Independent claims in excess of 3	\$
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1203	300	2203 150 Multiple dependent claim if not paid	\$
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1204	88	2204 44 **Reissue independent claims over original patent	\$
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1205	18	2205 9 **Reissue claims in excess of 20 and over original patent	\$
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<b>SUBTOTAL (2)</b>			\$ 0
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### **SUBMITTED BY:**

Ranjana Kadle Reg. No. 40,041

### **SIGNATURE**

DATE: November 1, 2004 Telephone: (716) 848-1628

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		

1051	130	2051	65	Surcharge - late filing fee or oath	\$
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1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
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1053	130	1053	130	Non-English specification	\$
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1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	\$
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1804	920*	1804	920*	Requesting Publication of SIR prior to Examiner Action	\$
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1805	1,840*	1805	1,840*	Requesting Publication of SIR after Examiner Action	\$
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1251	110	2251	55	Extension for reply within first month	\$
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1252	430	2252	215	Extension for reply within second month	\$
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1253	980	2253	490	Extension for reply within third month	\$
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1254	1,530	2254	765	Extension for reply within fourth month	\$
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1255	2,080	2255	1,040	Extension for reply within fifth month	\$
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1401	300	2401	150	Notice of Appeal	\$
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1402	340	2402	170	Filing a brief in support of an appeal	\$
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1403	300	2403	150	Request for oral hearing	\$
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1451	1,510	1451	1,510	Petition to institute a public use proceeding	\$
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1452	110	2452	55	Petition to revive - unavoidable	\$
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1453	1,370	2453	685	Petition to revive - unintentional	\$
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1501	1,370	2501	685	10 advance copies Utility issue fee (or reissue)	\$ 30 \$1370
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1502	490	2502	245	Design issue fee	\$
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1503	660	2503	330	Plant issue fee	\$
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1460	130	1460	130	Petitions to the Commissioner	\$
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1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\$
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1806	180	1806	180	Submission of Information Disclosure Statement	\$
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8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$
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1809	790	2809	395	Filing a submission after final rejection(37 CFR 1.129(a))	\$
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1810	790	2810	395	For each add'l invention to be examined(37 CFR 1.129(b))	\$
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1801	790	2801	395	Request For Continued Examination (RCE)	\$
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1802	900	1802	900	Request for Expedited Examination of a design application	\$
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Other fee (specify) Publication Fee					\$ 300
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*Reduced by basic filing fee paid					<b>SUBTOTAL (3)</b>	\$1700
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